

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Marvin T. Ling
Application No. : 09/665,237
Filed : September 18, 2000
For : METHOD AND APPARATUS FOR CONDUCTING
ELECTRONIC COMMERCE TRANSACTIONS USING
ELECTRONIC TOKENS

Mail Stop: PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97 and 1.98, applicant hereby makes the documents identified on the enclosed form PTO/SB/08 (submitted in duplicate) of record in the above-identified application. A copy of the documents identified on the enclosed form PTO/SB/08 is submitted herewith. Applicant also submits herewith a translation of the identified document (PCT publication No. WO 01/42946 A2 in German) that was previously submitted to the U.S. Patent and Trademark Office without translation.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Supplemental Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, pursuant to 37 C.F.R. 1.97(b). Therefore, it is believed that no fee is required in connection with this submission. If a fee is required, please charge Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP. An early and favorable action is respectfully requested.

Respectfully submitted,



Raphael A. Valencia, Reg. No. 43,216
Attorney for Applicants

c/o Luce, Forward, Hamilton & Scripps LLP
 11988 El Camino Real, Suite 200
 San Diego, California 92130
 Phone: 858-720-6300; Fax: 858-720-6306



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/665,237
Filing Date	18 September 2000		
First Named Inventor	Marvin T. LING		
Art Unit	3628		
Examiner Name	KANOF, Pedro R.		
Sheet	1	of	1
		Attorney Docket Number	

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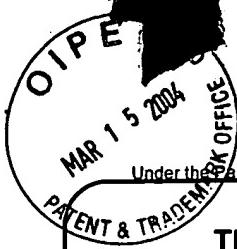
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



3628

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/665,237
		Filing Date	18 September 2000
		First Named Inventor	LING, Marvin T.
		Art Unit	3628
		Examiner Name	KANOF, Pedro R.
Total Number of Pages in This Submission	6	Attorney Docket Number	31188-1030 (formerly GXT-001 CIP1)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Copies of cited reference and English Translation and return receipt postcard.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Raphael A. Valencia, Reg. No. 43,216 Luce, Forward, Hamilton & Scripps LLP
Signature	
Date	11 March 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Amy M. Sheridan		
Signature		Date	11 March 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#9
3-19-04 CW

Atty. Docket No. 31188-1030



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TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date, but before the mailing date of the first Office Action on the merits.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: March 11, 2004

Signature:

A handwritten signature in black ink, appearing to read "Amy M. Sheridan".

The Commissioner is hereby authorized to charge payment of any fees required in connection with the accompanying Information Disclosure Statement to Deposit Account No. 50-2298 in the name of Luce, Forward, Hamilton & Scripps LLP. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Raphael A. Valencia
Raphael A. Valencia
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Attorney for Applicants

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